

## DECLARATION FOR PATENT APPLICATION

1963/002

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## A COMPUTERIZED TRADING CARD SYSTEM

the specification of which: (check one)

☒ [X] is attached hereto. ☐ [ ] was filed on \_\_\_\_\_ 19\_\_\_\_, as United States Patent Application Serial No. or PCT International Application Number \_\_\_\_\_, and was amended on \_\_\_\_\_ 19\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 CFR § 1.56(a).

Prior Foreign Application(s): I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate listed below, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Priority Claimed

_____ (Application No.)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
_____ (Application No.)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
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I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by 35 U.S.C. § 112, first paragraph, I acknowledge the duty to disclose material information as defined in 37 CFR § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

_____ (U.S. Application Serial No.)	_____ (U.S. Filing Date)	_____ (Status—patented, pending, abandoned)
_____ (U.S. Application Serial No.)	_____ (U.S. Filing Date)	_____ (Status—patented, pending, abandoned)

I hereby appoint George Vande Sande, Registration No. 17,276; Burton A. Amernick, Registration No. 24,852; Richard Wiener, Registration No. 18,741; Townsend M. Belser, Jr., Registration No. 22,956; Morris Liss, Registration No. 24,510; Martin Abramson, Registration No. 25,787; George R. Pettit, Registration No. 27,369; Elzbieta Chlopecka, Registration No. 32,767; Eric J. Franklin, Registration No. 37,134; Jeffri A. Kaminski, Registration Number 42,709; and William E. Curry, Registration Number 43,572, my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Send Correspondence and Direct Telephone Calls to:

George R. Pettit  
(202) 331-7111

George R. Pettit  
Pollock, Vande Sande & Amernick, R.L.L.P.  
P.O. Box 19088  
Washington, D.C. 20036-3425 U.S.A.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Arthur Swanberg

Inventor's Signature [Signature] Date 12-24-99

Residence Address 9 Woodside Lane, Westport, CT 06880

Citizenship U.S.

Post Office Address Same as residence address.

☒ See next page for additional inventors

Full name of second joint inventor (if any): Ethan Rappaport

Inventor's Signature [Signature] Date 12-24-99

Residence Address 119 Kennedy Drive, Orange, CT 06477

Citizenship U.S.

Post Office Address Same as residence address.

Full name of third joint inventor (if any): \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Address \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full name of fourth joint inventor (if any): \_\_\_\_\_

Inventor's \_\_\_\_\_ Signature

Date \_\_\_\_\_

Residence Address \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full name of fifth joint inventor (if any): \_\_\_\_\_

Inventor's \_\_\_\_\_ Signature

Date \_\_\_\_\_

Residence Address \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full name of sixth joint inventor (if any): \_\_\_\_\_

Inventor's \_\_\_\_\_ Signature

Date \_\_\_\_\_

Residence Address \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Applicant/Patentee: Arthur Swanberg and Ethan Rappaport  
 Serial/Patent No.: \_\_\_\_\_ Atty. Dkt No. \_\_\_\_\_  
 Filed on/Issued on: \_\_\_\_\_  
 For: A Computerized Trading Card System

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
 STATUS (37 CFR § 1.9(f) AND § 1.27(b)) - INDEPENDENT INVENTOR**

As below named inventors, we hereby declare that we qualify as independent inventors as defined in 37 CFR § 1.9(c) for purposes of paying reduced fees under 35 USC § 41(a) to the U.S. Patent and Trademark Office with regard to the invention entitled A COMPUTERIZED TRADING CARD SYSTEM described in:

☒ The specification filed herewith  
☐ U.S. Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_  
☐ U.S. Patent No. \_\_\_\_\_, issued \_\_\_\_\_

We have not assigned, granted, conveyed or licensed, and are under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR § 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR § 1.9(d) or a non-profit organization under 37 CFR § 1.9(e).

Each person, concern or organization to which we have assigned, granted, conveyed or licensed, or are under an obligation under contract or law to assign, grant, convey or license, any rights in the invention is listed below:

☒ No such person, concern or organization  
☐ persons, concerns or organizations listed below\*

FULL NAME \_\_\_\_\_  
 ADDRESS \_\_\_\_\_  
☐ Individual ☐ Small Business ☐ Non-Profit

\*NOTE: Separate Verified Statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR § 1.27).

We acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the Issue Fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR § 1.28(b)).

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which status as a small entity is no longer appropriate (37 CFR § 1.28(b)).

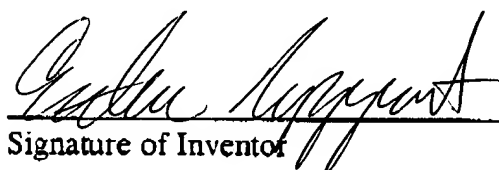
We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC § 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this Verified Statement is directed.

ARTHUR SWANBERG

  
Signature of Inventor

12-24-99  
Date

ETHAN RAPPAPORT

  
Signature of Inventor

12-24-99  
Date